Part II Converter Dynamics and Control

- 7. AC equivalent circuit modeling
- 8. Converter transfer functions
- 9. Controller design
- 10. Ac and dc equivalent circuit modeling of the discontinuous conduction mode
- 11. Current programmed control

Chapter 7. AC Equivalent Circuit Modeling

- 7.1. Introduction
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Applications of control in power electronics

Dc-dc converters

Regulate dc output voltage.Control the duty cycle d(t) such that v(t) accurately follow

ref∎

Dc-ac inverters

Regulate an ac output voltage. Control the duty cycle d(t) such that v(t) accurately for

 $_{ref}(t).$

Ac-dc rectifiers

Regulate the dc output voltage. Regulate the ac input current waveform. Control the

 $_{g}(t)$ accurately follows a reference signal i

 $v_{ref}(t)$, and v(t) accurately follows a reference signal v_{ref} .

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Chapter 7: AC equivalent circuit modeling

Objective of Part II

Develop tools for modeling, analysis, and design of converter control systems

Need dynamic models of converters:

How do ac variations in $v_g(t)$, *R*, or d(t) affect the output voltage v(t)?

What are the small-signal transfer functions of the converter?

- Extend the steady-state converter models of Chapters 2 and 3, to include CCM converter dynamics (Chapter 7)
- Construct converter small-signal transfer functions (Chapter 8)
- Design converter control systems (Chapter 9)
- Model converters operating in DCM (Chapter 10)
- Current-programmed control of converters (Chapter 11)

Modeling

- Representation of physical behavior by mathematical means
- Model dominant behavior of system, ignore other insignificant phenomena
- Simplified model yields physical insight, allowing engineer to design system to operate in specified manner
- Approximations neglect small but complicating phenomena
- After basic insight has been gained, model can be refined (if it is judged worthwhile to expend the engineering effort to do so), to account for some of the previously neglected phenomena

Neglecting the switching ripple

Suppose the duty cycle is modulated sinusoidally:

 $d(t) = D + D_m \cos \omega_m t$

where D and D_m are constants, $|D_m| \ll D$, and the modulation frequency ω_m is much smaller than the converter switching frequency $\omega_s = 2\pi f_s$. The resulting variations in transistor gate drive signal and converter output voltage:



Output voltage spectrum with sinusoidal modulation of duty cycle



Objective of ac converter modeling

- Predict how low-frequency variations in duty cycle induce lowfrequency variations in the converter voltages and currents
- Ignore the switching ripple
- Ignore complicated switching harmonics and sidebands

Approach:

Remove switching harmonics by averaging all waveforms over one switching period

Averaging to remove switching ripple

Average over one switching period to remove switching ripple:

$$L \frac{d\left\langle i_{L}(t)\right\rangle_{T_{s}}}{dt} = \left\langle v_{L}(t)\right\rangle_{T_{s}}$$
$$C \frac{d\left\langle v_{C}(t)\right\rangle_{T_{s}}}{dt} = \left\langle i_{C}(t)\right\rangle_{T_{s}}$$

where

$$\left\langle x_{L}(t)\right\rangle_{T_{s}}=\frac{1}{T_{s}}\int_{t}^{t+T_{s}}x(\tau) d\tau$$

Note that, in steady-state,

$$\left\langle v_L(t) \right\rangle_{T_s} = 0$$
$$\left\langle i_C(t) \right\rangle_{T_s} = 0$$

by inductor volt-second balance and capacitor charge balance.

Nonlinear averaged equations

The averaged voltages and currents are, in general, nonlinear functions of the converter duty cycle, voltages, and currents. Hence, the averaged equations

$$L \frac{d\left\langle i_{L}(t)\right\rangle_{T_{s}}}{dt} = \left\langle v_{L}(t)\right\rangle_{T_{s}}$$
$$C \frac{d\left\langle v_{C}(t)\right\rangle_{T_{s}}}{dt} = \left\langle i_{C}(t)\right\rangle_{T_{s}}$$

constitute a system of nonlinear differential equations.

Hence, must linearize by constructing a small-signal converter model.

Small-signal modeling of the BJT



Buck-boost converter: nonlinear static control-to-output characteristic



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Result of averaged small-signal ac modeling

Small-signal ac equivalent circuit model



buck-boost example

7.2. The basic ac modeling approach

Buck-boost converter example



Switch in position 1

Inductor voltage and capacitor current are:

$$v_L(t) = L \frac{di(t)}{dt} = v_g(t)$$

$$i_C(t) = C \frac{dv(t)}{dt} = -\frac{v(t)}{R}$$



Small ripple approximation: replace waveforms with their low-frequency averaged values:

$$v_{L}(t) = L \frac{di(t)}{dt} \approx \left\langle v_{g}(t) \right\rangle_{T_{s}}$$
$$i_{C}(t) = C \frac{dv(t)}{dt} \approx -\frac{\left\langle v(t) \right\rangle_{T_{s}}}{R}$$

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Switch in position 2



Small ripple approximation: replace waveforms with their low-frequency averaged values:

$$v_{L}(t) = L \frac{di(t)}{dt} \approx \left\langle v(t) \right\rangle_{T_{s}}$$
$$i_{C}(t) = C \frac{dv(t)}{dt} \approx -\left\langle i(t) \right\rangle_{T_{s}} - \frac{\left\langle v(t) \right\rangle_{T_{s}}}{R}$$

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7.2.1 Averaging the inductor waveforms

Inductor voltage waveform

Low-frequency average is found by evaluation of

$$\left\langle x_{L}(t)\right\rangle_{T_{s}}=\frac{1}{T_{s}}\int_{t}^{t+T_{s}}x(\tau)d\tau$$

Average the inductor voltage in this manner:



$$\left\langle v_L(t) \right\rangle_{T_s} = \frac{1}{T_s} \int_t^{t+T_s} v_L(\tau) d\tau \approx d(t) \left\langle v_g(t) \right\rangle_{T_s} + d'(t) \left\langle v(t) \right\rangle_{T_s}$$

Insert into Eq. (7.2):

$$L \frac{d\langle i(t) \rangle_{T_s}}{dt} = d(t) \langle v_g(t) \rangle_{T_s} + d'(t) \langle v(t) \rangle_{T_s}$$

This equation describes how the low-frequency components of the inductor waveforms evolve in time.

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Chapter 7: AC equivalent circuit modeling

7.2.2 Discussion of the averaging approximation

Use of the average inductor voltage allows us to determine the net change in inductor current over one switching period, while neglecting the switching ripple.

In steady-state, the average inductor voltage is zero (volt-second balance), and hence the inductor current waveform is periodic: $i(t + T_s) = i(t)$. There is no net change in inductor current over one switching period.

During transients or ac variations, the average inductor voltage is not zero in general, and this leads to net variations in inductor current.



Net change in inductor current is correctly predicted by the average inductor voltage

Inductor equation:

$$L \frac{di(t)}{dt} = v_L(t)$$

Divide by L and integrate over one switching period:

$$\int_{t}^{t+T_{s}} di = \frac{1}{L} \int_{t}^{t+T_{s}} v_{L}(\tau) d\tau$$

Left-hand side is the change in inductor current. Right-hand side can be related to average inductor voltage by multiplying and dividing by T_s as follows:

$$i(t+T_s) - i(t) = \frac{1}{L} T_s \left\langle v_L(t) \right\rangle_{T_s}$$

So the net change in inductor current over one switching period is exactly equal to the period T_s multiplied by the average slope $\langle v_L \rangle_{T_s}/L$.

Average inductor voltage correctly predicts average slope of $i_L(t)$



The net change in inductor current over one switching period is exactly equal to the period T_s multiplied by the average slope $\langle v_L \rangle_{T_s} / L$.

$$\frac{d\left\langle i(t)\right\rangle_{T_s}}{dt}$$

We have

$$i(t+T_s)-i(t)=\frac{1}{L}T_s\left\langle v_L(t)\right\rangle_{T_s}$$

Rearrange:

$$L \frac{i(t+T_s)-i(t)}{T_s} = \left\langle v_L(t) \right\rangle_{T_s}$$

Define the derivative of $\langle i \rangle_{T_s}$ as (Euler formula):

$$\frac{d\left\langle i(t)\right\rangle_{T_s}}{dt} = \frac{i(t+T_s) - i(t)}{T_s}$$

Hence,

$$L \frac{d\left\langle i(t)\right\rangle_{T_s}}{dt} = \left\langle v_L(t)\right\rangle_{T_s}$$

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Computing how the inductor current changes over one switching period



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Net change in inductor current over one switching period

Eliminate $i(dT_s)$, to express $i(T_s)$ directly as a function of i(0):

$$i(T_{s}) = i(0) + \frac{T_{s}}{L} \underbrace{\left(d(t) \left\langle v_{g}(t) \right\rangle_{T_{s}} + d'(t) \left\langle v(t) \right\rangle_{T_{s}} \right)}_{\left\langle v_{L}(t) \right\rangle_{T_{s}}}$$

The intermediate step of computing $i(dT_s)$ is eliminated.

The final value $i(T_s)$ is equal to the initial value i(0), plus the switching period Ts multiplied by the average slope $\langle v_L \rangle_{T_s}/L$.



7.2.3 Averaging the capacitor waveforms



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7.2.4 The average input current

We found in Chapter 3 that it was sometimes necessary to write an equation for the average converter input current, to derive a complete dc equivalent circuit model. It is likewise necessary to do this for the ac model.

Buck-boost input current waveform is

$$i_g(t) = \begin{cases} \left\langle i(t) \right\rangle_{T_s} & \text{during subinterval 1} \\ 0 & \text{during subinterval 2} \end{cases}$$

Average value:

$$\left\langle i_g(t) \right\rangle_{T_s} = d(t) \left\langle i(t) \right\rangle_{T_s}$$



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7.2.5. Perturbation and linearization

Converter averaged equations:

$$L \frac{d\langle i(t) \rangle_{T_s}}{dt} = d(t) \langle v_g(t) \rangle_{T_s} + d'(t) \langle v(t) \rangle_{T_s}$$
$$C \frac{d\langle v(t) \rangle_{T_s}}{dt} = -d'(t) \langle i(t) \rangle_{T_s} - \frac{\langle v(t) \rangle_{T_s}}{R}$$
$$\langle i_g(t) \rangle_{T_s} = d(t) \langle i(t) \rangle_{T_s}$$

—nonlinear because of multiplication of the time-varying quantity d(t) with other time-varying quantities such as i(t) and v(t).

Construct small-signal model: Linearize about quiescent operating point

If the converter is driven with some steady-state, or quiescent, inputs

$$d(t) = D$$
$$\left\langle v_g(t) \right\rangle_{T_s} = V_g$$

then, from the analysis of Chapter 2, after transients have subsided the inductor current, capacitor voltage, and input current

$$\left\langle i(t) \right\rangle_{T_s}, \left\langle v(t) \right\rangle_{T_s}, \left\langle i_g(t) \right\rangle_{T_s}$$

reach the quiescent values I, V, and I_g , given by the steady-state analysis as

$$V = -\frac{D}{D'} V_g$$
$$I = -\frac{V}{D' R}$$
$$I_g = D I$$

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Perturbation

So let us assume that the input voltage and duty cycle are equal to some given (dc) quiescent values, plus superimposed small ac variations:

$$\left\langle v_g(t) \right\rangle_{T_s} = V_g + \hat{v}_g(t)$$

 $d(t) = D + \hat{d}(t)$

In response, and after any transients have subsided, the converter dependent voltages and currents will be equal to the corresponding quiescent values, plus small ac variations:

$$\left\langle i(t) \right\rangle_{T_s} = I + \hat{i}(t) \\ \left\langle v(t) \right\rangle_{T_s} = V + \hat{v}(t) \\ \left\langle i_g(t) \right\rangle_{T_s} = I_g + \hat{i}_g(t)$$

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The small-signal assumption

If the ac variations are much smaller in magnitude than the respective quiescent values,

$$egin{aligned} &\hat{v}_{g}(t) \mid << \mid V_{g} \mid \ & \hat{d}(t) \mid << \mid D \mid \ & \hat{i}(t) \mid << \mid I \mid \ & \hat{v}(t) \mid << \mid V \mid \ & \hat{i}_{g}(t) \mid << \mid I_{g} \mid \end{aligned}$$

then the nonlinear converter equations can be linearized.

Perturbation of inductor equation

Insert the perturbed expressions into the inductor differential equation:

$$L\frac{d\left(I+\hat{i}(t)\right)}{dt} = \left(D+\hat{d}(t)\right)\left(V_g+\hat{v}_g(t)\right) + \left(D'-\hat{d}(t)\right)\left(V+\hat{v}(t)\right)$$

note that d'(t) is given by

$$d'(t) = (1 - d(t)) = 1 - (D + \hat{d}(t)) = D' - \hat{d}(t)$$
 with $D' = 1 - D$

Multiply out and collect terms:

$$L\left(\frac{\mathbf{A}}{dt}^{0} + \frac{d\,\hat{i}(t)}{dt}\right) = \underbrace{\left(DV_{g} + D'V\right)}_{Dc \ terms} + \underbrace{\left(D\hat{v}_{g}(t) + D'\hat{v}(t) + \left(V_{g} - V\right)\hat{d}(t)\right)}_{1^{st} \ order \ ac \ terms} + \underbrace{\hat{d}(t)\left(\hat{v}_{g}(t) - \hat{v}(t)\right)}_{2^{nd} \ order \ ac \ terms} + \underbrace{\hat{d}(t)\left(\hat{v}_{g}(t) - \hat{v}(t)\right)}_{1^{st} \ order \ ac \ terms}_{(linear)} + \underbrace{\hat{d}(t)\left(\hat{v}_{g}(t) - \hat{v}(t)\right)}_{2^{nd} \ order \ ac \ terms}_{(nonlinear)}$$

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The perturbed inductor equation



Dc terms

1st order ac terms (linear)

2nd order ac terms (nonlinear)

Since *I* is a constant (dc) term, its derivative is zero

The right-hand side contains three types of terms:

- Dc terms, containing only dc quantities
- First-order ac terms, containing a single ac quantity, usually multiplied by a constant coefficient such as a dc term. These are linear functions of the ac variations
- Second-order ac terms, containing products of ac quantities. These are nonlinear, because they involve multiplication of ac quantities

Neglect of second-order terms

$$L\left(\underbrace{\overrightarrow{at}}^{0} + \frac{d\,\widehat{i}(t)}{dt}\right) = \underbrace{\left(DV_{g} + D'V\right)}_{Dc \ terms} + \underbrace{\left(D\hat{v}_{g}(t) + D'\hat{v}(t) + \left(V_{g} - V\right)\widehat{d}(t)\right)}_{1^{st} \ order \ ac \ terms} + \underbrace{\widehat{d}(t)\left(\hat{v}_{g}(t) - \hat{v}(t)\right)}_{2^{nd} \ order \ ac \ terms}_{(nonlinear)}$$
Provided $\begin{vmatrix} \hat{v}_{g}(t) \end{vmatrix} < < \begin{vmatrix} V_{g} \end{vmatrix}$ then the second-order ac terms are much smaller than the first-order terms. For example, $\begin{vmatrix} \widehat{d}(t) \ \leqslant < |I| \end{vmatrix}$ $|\hat{v}(t)| < < |V|$ $|\hat{i}_{g}(t)| < < |I_{g}|$ So neglect second-order terms. Also, dc terms on each side of equation are equal.

Linearized inductor equation

Upon discarding second-order terms, and removing dc terms (which add to zero), we are left with

$$L \frac{d \,\hat{i}(t)}{d t} = D \hat{v}_g$$

Capacitor equation

Perturbation leads to

$$C \frac{d\left(V+\hat{v}(t)\right)}{dt} = -\left(D'-\hat{d}(t)\right)\left(I+\hat{i}(t)\right) - \frac{\left(V+\hat{v}(t)\right)}{R}$$

Collect terms:

$$C\left(\frac{d\hat{v}(t)}{dt} + \frac{d\hat{v}(t)}{dt}\right) = \underbrace{\left(-D'I - \frac{V}{R}\right)}_{=} + \underbrace{\left(-D'\hat{i}(t) - \frac{\hat{v}(t)}{R} + I\hat{d}(t)\right)}_{=} + \underbrace{\hat{d}(t)\hat{i}(t)}_{=}$$

Dc terms 1^{st} order ac terms 2^{nd} order ac term(linear)(nonlinear)Neglect second-order terms. Dc terms on both sides of equation are

equal. The following terms remain:

$$C \frac{d\hat{v}(t)}{dt} = -D'\hat{i}(t) - \frac{\hat{v}(t)}{R} + I\hat{d}(t)$$

This is the desired small-signal linearized capacitor equation.

Average input current

Perturbation leads to

$$I_g + \hat{i}_g(t) = \left(D + \hat{d}(t)\right) \left(I + \hat{i}(t)\right)$$

Collect terms:

$$\underbrace{I_g}_{Dc \ term} + \underbrace{\hat{i}_g(t)}_{1^{st} \ order \ ac \ term} = \underbrace{(DI)}_{Dc \ term} + \underbrace{(D\hat{i}(t) + I\hat{d}(t))}_{1^{st} \ order \ ac \ terms} + \underbrace{\hat{d}(t)\hat{i}(t)}_{2^{nd} \ order \ ac \ term}$$

(linear)

Neglect second-order terms. Dc terms on both sides of equation are equal. The following first-order terms remain:

$$\hat{i}_g(t) = D\hat{i}(t) + I\hat{d}(t)$$

This is the linearized small-signal equation which described the converter input port.

(nonlinear)
7.2.6. Construction of small-signal equivalent circuit model

The linearized small-signal converter equations:

$$L \frac{d\hat{i}(t)}{dt} = D\hat{v}_g(t) + D'\hat{v}(t) + \left(V_g - V\right)\hat{d}(t)$$
$$C \frac{d\hat{v}(t)}{dt} = -D'\hat{i}(t) - \frac{\hat{v}(t)}{R} + I\hat{d}(t)$$
$$\hat{i}_g(t) = D\hat{i}(t) + I\hat{d}(t)$$

Reconstruct equivalent circuit corresponding to these equations, in manner similar to the process used in Chapter 3.

Inductor loop equation

$$L \frac{d\hat{i}(t)}{dt} = D\hat{v}_{g}(t) + D'\hat{v}(t) + \left(V_{g} - V\right)\hat{d}(t)$$

$$L \frac{\left(V_{g} - V\right)\hat{d}(t)}{+L\frac{d\hat{i}(t)}{dt}} - \frac{1}{+} D'\hat{v}(t)$$

$$\hat{i}(t)$$

Capacitor node equation

$$C\frac{d\hat{v}(t)}{dt} = -D'\hat{i}(t) - \frac{\hat{v}(t)}{R} + I\hat{d}(t)$$



Input port node equation

 $\hat{i}_g(t) = D\hat{i}(t) + I\hat{d}(t)$



Complete equivalent circuit



Small-signal ac equivalent circuit model of the buck-boost converter

7.2.7. Results for several basic converters



Results for several basic converters



7.3. Example: a nonideal flyback converter

Flyback converter example



- MOSFET has onresistance R_{on}
- Flyback transformer has magnetizing inductance *L*, referred to primary

Circuits during subintervals 1 and 2



Subinterval 1

Circuit equations: transformer model $v_L(t) = v_g(t) - i(t) R_{on}$ + l_C $i_C(t) = -\frac{v(t)}{R}$ Lg v_L R v v_{g} $i_g(t) = i(t)$ Small ripple approximation: R_{on} $v_{L}(t) = \left\langle v_{g}(t) \right\rangle_{T_{s}} - \left\langle i(t) \right\rangle_{T_{s}} R_{on}$ $i_C(t) = -\frac{\left\langle v(t) \right\rangle_{T_s}}{R}$ MOSFET conducts, diode is reverse-biased $i_g(t) = \left\langle i(t) \right\rangle_{T_s}$

Inductor waveforms



Average inductor voltage:

$$\left\langle v_{L}(t)\right\rangle_{T_{s}} = d(t)\left(\left\langle v_{g}(t)\right\rangle_{T_{s}} - \left\langle i(t)\right\rangle_{T_{s}}R_{on}\right) + d'(t)\left(\frac{-\left\langle v(t)\right\rangle_{T_{s}}}{n}\right)$$

Hence, we can write:

$$L \frac{d\left\langle i(t)\right\rangle_{T_s}}{dt} = d(t) \left\langle v_g(t)\right\rangle_{T_s} - d(t) \left\langle i(t)\right\rangle_{T_s} R_{on} - d'(t) \frac{\left\langle v(t)\right\rangle_{T_s}}{n}$$

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Capacitor waveforms



Average capacitor current:

$$\left\langle i_{C}(t)\right\rangle_{T_{s}} = d(t)\left(\frac{-\left\langle v(t)\right\rangle_{T_{s}}}{R}\right) + d'(t)\left(\frac{\left\langle i(t)\right\rangle_{T_{s}}}{n} - \frac{\left\langle v(t)\right\rangle_{T_{s}}}{R}\right)$$

Hence, we can write:

$$C \frac{d\langle v(t) \rangle_{T_s}}{dt} = d'(t) \frac{\langle i(t) \rangle_{T_s}}{n} - \frac{\langle v(t) \rangle_{T_s}}{R}$$

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Input current waveform



Average input current:

 $\left\langle i_{g}(t)\right\rangle _{T_{s}}=d(t)\left\langle i(t)\right\rangle _{T_{s}}$

The averaged converter equations

$$L \frac{d\langle i(t) \rangle_{T_s}}{dt} = d(t) \langle v_g(t) \rangle_{T_s} - d(t) \langle i(t) \rangle_{T_s} R_{on} - d'(t) \frac{\langle v(t) \rangle_{T_s}}{n}$$
$$C \frac{d\langle v(t) \rangle_{T_s}}{dt} = d'(t) \frac{\langle i(t) \rangle_{T_s}}{n} - \frac{\langle v(t) \rangle_{T_s}}{R}$$
$$\langle i_g(t) \rangle_{T_s} = d(t) \langle i(t) \rangle_{T_s}$$

— a system of nonlinear differential equations

Next step: perturbation and linearization. Let

$$\begin{split} \left\langle v_g(t) \right\rangle_{T_s} &= V_g + \hat{v}_g(t) & \left\langle i(t) \right\rangle_{T_s} = I + \hat{i}(t) \\ d(t) &= D + \hat{d}(t) & \left\langle v(t) \right\rangle_{T_s} = V + \hat{v}(t) \\ \left\langle i_g(t) \right\rangle_{T_s} &= I_g + \hat{i}_g(t) \end{split}$$

Perturbation of the averaged inductor equation

$$L \frac{d\langle i(t) \rangle_{T_s}}{dt} = d(t) \langle v_g(t) \rangle_{T_s} - d(t) \langle i(t) \rangle_{T_s} R_{on} - d'(t) \frac{\langle v(t) \rangle_{T_s}}{n}$$

$$L \frac{d(I + \hat{i}(t))}{dt} = (D + \hat{d}(t)) (V_s + \hat{v}_g(t)) - (D' - \hat{d}(t)) \frac{(V + \hat{v}(t))}{n} - (D + \hat{d}(t)) (I + \hat{i}(t)) R_{on}$$

$$L \left(\underbrace{\overrightarrow{\mathbf{M}}}_{dt}^0 + \frac{d\hat{i}(t)}{dt} \right) = \underbrace{(DV_s - D' \underbrace{V}_n - DR_{on}I)}_{Dc \ terms} + \underbrace{(D\hat{v}_g(t) - D' \frac{\hat{v}(t)}{n} + (V_g + \underbrace{V}_n - IR_{on}) \hat{d}(t) - DR_{on}\hat{i}(t))}_{1^{st} \ order \ ac \ terms \ (linear)}$$

$$+ \underbrace{(\hat{d}(t)\hat{v}_g(t) + \hat{d}(t)\frac{\hat{v}(t)}{n} - \hat{d}(t)\hat{i}(t)R_{on})}_{2^{nd} \ order \ ac \ terms \ (nonlinear)}$$

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Linearization of averaged inductor equation

Dc terms:

$$0 = DV_g - D'\frac{V}{n} - DR_{on}I$$

Second-order terms are small when the small-signal assumption is satisfied. The remaining first-order terms are:

$$L\frac{d\hat{i}(t)}{dt} = D\hat{v}_g(t) - D'\frac{\hat{v}(t)}{n} + \left(V_g + \frac{V}{n} - IR_{on}\right)\hat{d}(t) - DR_{on}\hat{i}(t)$$

This is the desired linearized inductor equation.

Perturbation of averaged capacitor equation

Original averaged equation:

$$C \frac{d\left\langle v(t)\right\rangle_{T_s}}{dt} = d'(t) \frac{\left\langle i(t)\right\rangle_{T_s}}{n} - \frac{\left\langle v(t)\right\rangle_{T_s}}{R}$$

Perturb about quiescent operating point:

$$C \frac{d\left(V+\hat{v}(t)\right)}{dt} = \left(D'-\hat{d}(t)\right) \frac{\left(I+\hat{i}(t)\right)}{n} - \frac{\left(V+\hat{v}(t)\right)}{R}$$

Collect terms:

$$C\left(\frac{d\hat{v}^{0}}{dt} + \frac{d\hat{v}(t)}{dt}\right) = \underbrace{\left(\frac{D'I}{n} - \frac{V}{R}\right)}_{Dc \ terms} + \underbrace{\left(\frac{D'\hat{i}(t)}{n} - \frac{\hat{v}(t)}{R} - \frac{I\hat{d}(t)}{n}\right)}_{1^{\ st} \ order \ ac \ terms}_{(linear)} - \underbrace{\frac{\hat{d}(t)\hat{i}(t)}{n}}_{2^{\ nd} \ order \ ac \ terms}_{(nonlinear)}$$

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Linearization of averaged capacitor equation

Dc terms:

$$0 = \left(\frac{D'I}{n} - \frac{V}{R}\right)$$

Second-order terms are small when the small-signal assumption is satisfied. The remaining first-order terms are:

$$C\frac{d\hat{v}(t)}{dt} = \frac{D'\hat{i}(t)}{n} - \frac{\hat{v}(t)}{R} - \frac{I\hat{d}(t)}{n}$$

This is the desired linearized capacitor equation.

Perturbation of averaged input current equation

Original averaged equation:

$$\left\langle i_{g}(t)\right\rangle _{T_{s}}=d(t)\left\langle i(t)\right\rangle _{T_{s}}$$

Perturb about quiescent operating point:

$$I_g + \hat{i}_g(t) = \left(D + \hat{d}(t)\right) \left(I + \hat{i}(t)\right)$$

Collect terms:

$$\underbrace{I_g}_{g} + \underbrace{\hat{i}_g(t)}_{g} = \underbrace{(DI)}_{t} + \underbrace{(D\hat{i}(t) + I\hat{d}(t))}_{t} + \underbrace{\hat{d}(t)\hat{i}(t)}_{t}$$

$$Dc \ term \quad 1^{st} \ order \ ac \ term \quad Dc \ term \quad 1^{st} \ order \ ac \ terms \quad 2^{nd} \ order \ ac \ term \\ (nonlinear)$$

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Linearization of averaged input current equation

Dc terms:

 $I_g = DI$

Second-order terms are small when the small-signal assumption is satisfied. The remaining first-order terms are:

$$\hat{i}_g(t) = D\hat{i}(t) + I\hat{d}(t)$$

This is the desired linearized input current equation.

Summary: dc and small-signal ac converter equations

Dc equations:

$$0 = DV_g - D'\frac{V}{n} - DR_{on}I$$
$$0 = \left(\frac{D'I}{n} - \frac{V}{R}\right)$$
$$I_g = DI$$

Small-signal ac equations:

$$L\frac{d\hat{i}(t)}{dt} = D\hat{v}_{g}(t) - D'\frac{\hat{v}(t)}{n} + \left(V_{g} + \frac{V}{n} - IR_{on}\right)\hat{d}(t) - DR_{on}\hat{i}(t)$$
$$C\frac{d\hat{v}(t)}{dt} = \frac{D'\hat{i}(t)}{n} - \frac{\hat{v}(t)}{R} - \frac{I\hat{d}(t)}{n}$$
$$\hat{i}_{g}(t) = D\hat{i}(t) + I\hat{d}(t)$$

Next step: construct equivalent circuit models.

Small-signal ac equivalent circuit: inductor loop



Small-signal ac equivalent circuit: capacitor node

$$C \frac{d\hat{v}(t)}{dt} = \frac{D'\hat{i}(t)}{n} - \frac{\hat{v}(t)}{R} - \frac{I\hat{d}(t)}{n}$$



Small-signal ac equivalent circuit: converter input node

 $\hat{i}_g(t) = D\hat{i}(t) + I\hat{d}(t)$



Small-signal ac model, nonideal flyback converter example



Replace dependent sources with ideal transformers:



7.4. State Space Averaging

- A formal method for deriving the small-signal ac equations of a switching converter
- Equivalent to the modeling method of the previous sections
- Uses the state-space matrix description of linear circuits
- Often cited in the literature
- A general approach: if the state equations of the converter can be written for each subinterval, then the small-signal averaged model can always be derived
- Computer programs exist which utilize the state-space averaging method

7.4.1. The state equations of a network

- A canonical form for writing the differential equations of a system
- If the system is linear, then the derivatives of the *state variables* are expressed as linear combinations of the system independent inputs and state variables themselves
- The physical state variables of a system are usually associated with the storage of energy
- For a typical converter circuit, the physical state variables are the inductor currents and capacitor voltages
- Other typical physical state variables: position and velocity of a motor shaft
- At a given point in time, the values of the state variables depend on the previous history of the system, rather than the present values of the system inputs
- To solve the differential equations of a system, the initial values of the state variables must be specified

State equations of a linear system, in matrix form

A canonical matrix form:

State vector $\mathbf{x}(t)$ contains

voltages, etc.:

 $\mathbf{K} \frac{d\mathbf{x}(t)}{dt} = \mathbf{A} \mathbf{x}(t) + \mathbf{B} \mathbf{u}(t)$ $\mathbf{y}(t) = \mathbf{C} \mathbf{x}(t) + \mathbf{E} \mathbf{u}(t)$ $\mathbf{x}(t) = \mathbf{C} \mathbf{x}(t) + \mathbf{L} \mathbf{u}(t)$ $\mathbf{x}_{1}(t) = \begin{bmatrix} x_{1}(t) \\ x_{2}(t) \\ \vdots \end{bmatrix}, \qquad \frac{d\mathbf{x}(t)}{dt} = \begin{bmatrix} \frac{dx_{1}(t)}{dt} \\ \frac{dx_{2}(t)}{dt} \\ \vdots \end{bmatrix}$ inductor currents, capacitor

Input vector $\mathbf{u}(t)$ contains independent sources such as $v_g(t)$

Output vector $\mathbf{y}(t)$ contains other dependent quantities to be computed, such as $i_g(t)$

Matrix K contains values of capacitance, inductance, and mutual inductance, so that $\mathbf{K} \, d\mathbf{x}/dt$ is a vector containing capacitor currents and inductor winding voltages. These quantities are expressed as linear combinations of the independent inputs and state variables. The matrices A, **B**, **C**, and **E** contain the constants of proportionality.

Example



To write the state equations of this circuit, we must express the inductor voltages and capacitor currents as linear combinations of the elements of the $\mathbf{x}(t)$ and $\mathbf{u}(t)$ vectors.

Circuit equations



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Chapter 7: AC equivalent circuit modeling

Equations in matrix form



Output (dependent signal) equations



Express elements of the vector \mathbf{y} as linear combinations of elements of \mathbf{x} and \mathbf{u} :

$$v_{out}(t) = v_2(t) \frac{R_3}{R_2 + R_3}$$

 $i_{R1}(t) = \frac{v_1(t)}{R_1}$

Express in matrix form

The same equations:

$$v_{out}(t) = v_2(t) \frac{R_3}{R_2 + R_3}$$

 $i_{R1}(t) = \frac{v_1(t)}{R_1}$

Express in matrix form:

$$\underbrace{\begin{bmatrix} v_{out}(t) \\ i_{R1}(t) \end{bmatrix}}_{\mathbf{y}(t)} = \underbrace{\begin{bmatrix} 0 & \frac{R_3}{R_2 + R_3} & 0 \\ \frac{1}{R_1} & 0 & 0 \end{bmatrix}}_{\mathbf{y}(t)} \underbrace{\begin{bmatrix} v_1(t) \\ v_2(t) \\ i(t) \end{bmatrix}}_{\mathbf{y}(t)} + \underbrace{\begin{bmatrix} 0 \\ 0 \end{bmatrix}}_{\mathbf{y}(t)} \underbrace{\begin{bmatrix} i_{in}(t) \end{bmatrix}}_{\mathbf{y}(t)} + \underbrace{\begin{bmatrix} 0 \\ 0 \end{bmatrix}}_{\mathbf{y}(t)} \underbrace{\begin{bmatrix} i_{in}(t) \end{bmatrix}}_{\mathbf{y}(t)} + \underbrace{\begin{bmatrix} 0 \\ 0 \end{bmatrix}}_{\mathbf{y}(t)} \underbrace{\begin{bmatrix} i_{in}(t) \end{bmatrix}}_{\mathbf{y}(t)} + \underbrace{\begin{bmatrix} 0 \\ 0 \end{bmatrix}}_{\mathbf{y}(t)} \underbrace{\begin{bmatrix} i_{in}(t) \end{bmatrix}}_{\mathbf{y}(t)} + \underbrace{\begin{bmatrix} 0 \\ 0 \end{bmatrix}}_{\mathbf{y}(t)} \underbrace{\begin{bmatrix} i_{in}(t) \end{bmatrix}}_{\mathbf{y}(t)} + \underbrace{\begin{bmatrix} 0 \\ 0 \end{bmatrix}}_{\mathbf{y}(t)} \underbrace{\begin{bmatrix} i_{in}(t) \end{bmatrix}}_{\mathbf{y}(t)} \underbrace{\begin{bmatrix} i_{in}(t) \end{bmatrix}}_{\mathbf{y}(t)} + \underbrace{\begin{bmatrix} 0 \\ 0 \end{bmatrix}}_{\mathbf{y}(t)} \underbrace{\begin{bmatrix} i_{in}(t) \end{bmatrix}}_{\mathbf{y}(t)} + \underbrace{\begin{bmatrix} 0 \\ 0 \end{bmatrix}}_{\mathbf{y}(t)} \underbrace{\begin{bmatrix} i_{in}(t) \underbrace{\begin{bmatrix} i_{in}(t) \underbrace{i_{in}(t) \end{bmatrix}}_{\mathbf{y}(t)} \underbrace{\begin{bmatrix} i_{in}(t) \underbrace{i_{in}(t) \underbrace{i_{in}(t)$$

7.4.2. The basic state-space averaged model

Given: a PWM converter, operating in continuous conduction mode, with two subintervals during each switching period.

During subinterval 1, when the switches are in position 1, the converter reduces to a linear circuit that can be described by the following state equations:

$$\mathbf{K} \frac{d\mathbf{x}(t)}{dt} = \mathbf{A}_1 \mathbf{x}(t) + \mathbf{B}_1 \mathbf{u}(t)$$
$$\mathbf{y}(t) = \mathbf{C}_1 \mathbf{x}(t) + \mathbf{E}_1 \mathbf{u}(t)$$

During subinterval 2, when the switches are in position 2, the converter reduces to another linear circuit, that can be described by the following state equations:

$$\mathbf{K} \frac{d\mathbf{x}(t)}{dt} = \mathbf{A}_2 \mathbf{x}(t) + \mathbf{B}_2 \mathbf{u}(t)$$
$$\mathbf{y}(t) = \mathbf{C}_2 \mathbf{x}(t) + \mathbf{E}_2 \mathbf{u}(t)$$

Equilibrium (dc) state-space averaged model

Provided that the natural frequencies of the converter, as well as the frequencies of variations of the converter inputs, are much slower than the switching frequency, then the state-space averaged model that describes the converter in equilibrium is

 $\mathbf{0} = \mathbf{A} \mathbf{X} + \mathbf{B} \mathbf{U}$ $\mathbf{Y} = \mathbf{C} \mathbf{X} + \mathbf{E} \mathbf{U}$

where the averaged matrices are

$$\mathbf{A} = D \mathbf{A}_{1} + D' \mathbf{A}_{2}$$
$$\mathbf{B} = D \mathbf{B}_{1} + D' \mathbf{B}_{2}$$
$$\mathbf{C} = D \mathbf{C}_{1} + D' \mathbf{C}_{2}$$
$$\mathbf{E} = D \mathbf{E}_{1} + D' \mathbf{E}_{2}$$

and the equilibrium dc components are

 $\mathbf{X} = equilibrium (dc) state vector$

 $\mathbf{U} = equilibrium (dc) input vector$

 $\mathbf{Y} = equilibrium (dc) output vector$

D = equilibrium (dc) duty cycle
Solution of equilibrium averaged model

Equilibrium state-space averaged model:

 $\mathbf{0} = \mathbf{A} \mathbf{X} + \mathbf{B} \mathbf{U}$ $\mathbf{Y} = \mathbf{C} \mathbf{X} + \mathbf{E} \mathbf{U}$

Solution for X and Y:

 $\mathbf{X} = -\mathbf{A}^{-1} \mathbf{B} \mathbf{U}$ $\mathbf{Y} = \left(-\mathbf{C} \mathbf{A}^{-1} \mathbf{B} + \mathbf{E}\right) \mathbf{U}$

Small-signal ac state-space averaged model

$$\mathbf{K} \frac{d\hat{\mathbf{x}}(t)}{dt} = \mathbf{A} \,\hat{\mathbf{x}}(t) + \mathbf{B} \,\hat{\mathbf{u}}(t) + \left\{ \left(\mathbf{A}_{1} - \mathbf{A}_{2}\right) \mathbf{X} + \left(\mathbf{B}_{1} - \mathbf{B}_{2}\right) \mathbf{U} \right\} \,\hat{d}(t)$$
$$\hat{\mathbf{y}}(t) = \mathbf{C} \,\hat{\mathbf{x}}(t) + \mathbf{E} \,\hat{\mathbf{u}}(t) + \left\{ \left(\mathbf{C}_{1} - \mathbf{C}_{2}\right) \mathbf{X} + \left(\mathbf{E}_{1} - \mathbf{E}_{2}\right) \mathbf{U} \right\} \,\hat{d}(t)$$

where

 $\hat{\mathbf{x}}(t) = small - signal (ac) perturbation in state vector$ $<math display="block">\hat{\mathbf{u}}(t) = small - signal (ac) perturbation in input vector$ $\hat{\mathbf{y}}(t) = small - signal (ac) perturbation in output vector$ $\hat{d}(t) = small - signal (ac) perturbation in duty cycle$

So if we can write the converter state equations during subintervals 1 and 2, then we can always find the averaged dc and small-signal ac models

7.4.3. Discussion of the state-space averaging result

As in Sections 7.1 and 7.2, the low-frequency components of the inductor currents and capacitor voltages are modeled by averaging over an interval of length T_s . Hence, we define the average of the state vector as:

$$\left\langle \mathbf{x}(t)\right\rangle_{T_s} = \frac{1}{T_s} \int_t^{t+T_s} \mathbf{x}(\tau) d\tau$$

- The low-frequency components of the input and output vectors are modeled in a similar manner.
- By averaging the inductor voltages and capacitor currents, one obtains:

$$\mathbf{K} \frac{d\langle \mathbf{x}(t) \rangle_{T_s}}{dt} = \left(d(t) \mathbf{A}_1 + d'(t) \mathbf{A}_2 \right) \langle \mathbf{x}(t) \rangle_{T_s} + \left(d(t) \mathbf{B}_1 + d'(t) \mathbf{B}_2 \right) \langle \mathbf{u}(t) \rangle_{T_s}$$

Change in state vector during first subinterval

During subinterval 1, we have

$$\mathbf{K} \frac{d\mathbf{x}(t)}{dt} = \mathbf{A}_1 \mathbf{x}(t) + \mathbf{B}_1 \mathbf{u}(t)$$
$$\mathbf{y}(t) = \mathbf{C}_1 \mathbf{x}(t) + \mathbf{E}_1 \mathbf{u}(t)$$

So the elements of $\mathbf{x}(t)$ change with the slope

$$\frac{d\mathbf{x}(t)}{dt} = \mathbf{K}^{-1} \left(\mathbf{A}_{1} \mathbf{x}(t) + \mathbf{B}_{1} \mathbf{u}(t) \right)$$

Small ripple assumption: the elements of $\mathbf{x}(t)$ and $\mathbf{u}(t)$ do not change significantly during the subinterval. Hence the slopes are essentially constant and are equal to

$$\frac{d\mathbf{x}(t)}{dt} = \mathbf{K}^{-1} \left(\mathbf{A}_{1} \left\langle \mathbf{x}(t) \right\rangle_{T_{s}} + \mathbf{B}_{1} \left\langle \mathbf{u}(t) \right\rangle_{T_{s}} \right)$$

Change in state vector during first subinterval

$$\frac{d\mathbf{x}(t)}{dt} = \mathbf{K}^{-1} \left(\mathbf{A}_{1} \langle \mathbf{x}(t) \rangle_{T_{s}} + \mathbf{B}_{1} \langle \mathbf{u}(t) \rangle_{T_{s}} \right)$$

$$\mathbf{x}(t) \quad \mathbf{K}^{-1} \left(\mathbf{A}_{1} \langle \mathbf{x} \rangle_{T_{s}} + \mathbf{B}_{1} \langle \mathbf{u} \rangle_{T_{s}} \right)$$

$$\mathbf{x}(0)$$

$$\mathbf{x}(0)$$
Net change in state vector over first subinterval:
$$\underbrace{\mathbf{x}(dT_{s})}_{final} = \underbrace{\mathbf{x}(0)}_{value} + \underbrace{(dT_{s})}_{tant} \underbrace{\mathbf{K}^{-1} \left(\mathbf{A}_{1} \langle \mathbf{x}(t) \rangle_{T_{s}} + \mathbf{B}_{1} \langle \mathbf{u}(t) \rangle_{T_{s}} \right)}_{slope}$$

$$\underbrace{\mathbf{x}(t)}_{slope} = \underbrace{\mathbf{x}(0)}_{value} + \underbrace{(dT_{s})}_{langth} \underbrace{\mathbf{K}^{-1} \left(\mathbf{A}_{1} \langle \mathbf{x}(t) \rangle_{T_{s}} + \mathbf{B}_{1} \langle \mathbf{u}(t) \rangle_{T_{s}} \right)}_{slope}$$

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Change in state vector during second subinterval

Use similar arguments.

State vector now changes with the essentially constant slope

$$\frac{d\mathbf{x}(t)}{dt} = \mathbf{K}^{-1} \left(\mathbf{A}_{2} \left\langle \mathbf{x}(t) \right\rangle_{T_{s}} + \mathbf{B}_{2} \left\langle \mathbf{u}(t) \right\rangle_{T_{s}} \right)$$

The value of the state vector at the end of the second subinterval is therefore

$$\underbrace{\mathbf{x}(T_{s})}_{final \ initial \ interval \ value \ length} \underbrace{\mathbf{K}^{-1}\left(\mathbf{A}_{2}\left\langle \mathbf{x}(t)\right\rangle_{T_{s}} + \mathbf{B}_{2}\left\langle \mathbf{u}(t)\right\rangle_{T_{s}}\right)}_{slope}$$

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Net change in state vector over one switching period

We have:

$$\mathbf{x}(dT_s) = \mathbf{x}(0) + \left(dT_s\right) \mathbf{K}^{-1} \left(\mathbf{A}_1 \left\langle \mathbf{x}(t) \right\rangle_{T_s} + \mathbf{B}_1 \left\langle \mathbf{u}(t) \right\rangle_{T_s}\right)$$
$$\mathbf{x}(T_s) = \mathbf{x}(dT_s) + \left(dT_s\right) \mathbf{K}^{-1} \left(\mathbf{A}_2 \mathbf{x}(t)_{T_s} + \mathbf{B}_2 \mathbf{u}(t)_{T_s}\right)$$

Eliminate $\mathbf{x}(dT_s)$, to express $\mathbf{x}(T_s)$ directly in terms of $\mathbf{x}(0)$:

$$\mathbf{x}(T_s) = \mathbf{x}(0) + dT_s \mathbf{K}^{-1} \left(\mathbf{A}_1 \Big|_{\mathbf{x}(t)_{\mathcal{T}_s}} + \mathbf{B}_1 \Big|_{\mathbf{u}(t)_{\mathcal{T}_s}} \right) + d'T_s \mathbf{K}^{-1} \left(\mathbf{A}_2 \Big|_{\mathbf{x}(t)_{\mathcal{T}_s}} + \mathbf{B}_2 \Big|_{\mathbf{u}(t)_{\mathcal{T}_s}} \right)$$

Collect terms:

$$\mathbf{x}(T_{s}) = \mathbf{x}(0) + T_{s}\mathbf{K}^{-1}\left(d(t)\mathbf{A}_{1} + d'(t)\mathbf{A}_{2}\right) \quad \mathbf{x}(t) = T_{s}\mathbf{K}^{-1}\left(d(t)\mathbf{B}_{1} + d'(t)\mathbf{B}_{2}\right) \quad \mathbf{u}(t) = T_{s}\mathbf{K}^{-1}\left(d(t)\mathbf{B}_{1} + d'(t)\mathbf{B}_{2}\right)$$

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Approximate derivative of state vector



Use Euler approximation:

$$\frac{d\left\langle \mathbf{x}(t)\right\rangle_{T_s}}{dt} \approx \frac{\mathbf{x}(T_s) - \mathbf{x}(0)}{T_s}$$

We obtain:

$$\mathbf{K} \frac{d\langle \mathbf{x}(t) \rangle_{T_s}}{dt} = \left(d(t) \mathbf{A}_1 + d'(t) \mathbf{A}_2 \right) \langle \mathbf{x}(t) \rangle_{T_s} + \left(d(t) \mathbf{B}_1 + d'(t) \mathbf{B}_2 \right) \langle \mathbf{u}(t) \rangle_{T_s}$$

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Low-frequency components of output vector



Remove switching harmonics by averaging over one switching period:

$$\left\langle \mathbf{y}(t) \right\rangle_{T_s} = d(t) \left(\mathbf{C}_1 \left\langle \mathbf{x}(t) \right\rangle_{T_s} + \mathbf{E}_1 \left\langle \mathbf{u}(t) \right\rangle_{T_s} \right) + d'(t) \left(\mathbf{C}_2 \left\langle \mathbf{x}(t) \right\rangle_{T_s} + \mathbf{E}_2 \left\langle \mathbf{u}(t) \right\rangle_{T_s} \right)$$

Collect terms:

$$\left\langle \mathbf{y}(t) \right\rangle_{T_s} = \left(d(t) \mathbf{C}_1 + d'(t) \mathbf{C}_2 \right) \left\langle \mathbf{x}(t) \right\rangle_{T_s} + \left(d(t) \mathbf{E}_1 + d'(t) \mathbf{E}_2 \right) \left\langle \mathbf{u}(t) \right\rangle_{T_s}$$

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Averaged state equations: quiescent operating point

The averaged (nonlinear) state equations:

$$\mathbf{K} \frac{d\langle \mathbf{x}(t) \rangle_{T_s}}{dt} = \left(d(t) \mathbf{A}_1 + d'(t) \mathbf{A}_2 \right) \langle \mathbf{x}(t) \rangle_{T_s} + \left(d(t) \mathbf{B}_1 + d'(t) \mathbf{B}_2 \right) \langle \mathbf{u}(t) \rangle_{T_s}}{\left\langle \mathbf{y}(t) \right\rangle_{T_s}} = \left(d(t) \mathbf{C}_1 + d'(t) \mathbf{C}_2 \right) \left\langle \mathbf{x}(t) \right\rangle_{T_s} + \left(d(t) \mathbf{E}_1 + d'(t) \mathbf{E}_2 \right) \left\langle \mathbf{u}(t) \right\rangle_{T_s}}$$

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Averaged state equations: perturbation and linearization

Let	$\left\langle \mathbf{x}(t) \right\rangle_{T_s} = \mathbf{X} + \hat{\mathbf{x}}(t)$	with	$\left\ \mathbf{U}\right\ >> \left\ \hat{\mathbf{u}}(t)\right\ $
	$\left\langle \mathbf{u}(t) \right\rangle_{T_s} = \mathbf{U} + \hat{\mathbf{u}}(t)$		$D >> \left \hat{d}(t) \right $
	$\left\langle \mathbf{y}(t) \right\rangle_{T_{s}} = \mathbf{Y} + \hat{\mathbf{y}}(t)$		$\left\ \mathbf{X}\right\ >> \left\ \hat{\mathbf{x}}(t)\right\ $
	$d(t) = D + \hat{d}(t) \implies d'(t) = D' -$	$\hat{d}(t)$	$\left\ \mathbf{Y} \right\ >> \left\ \hat{\mathbf{y}}(t) \right\ $

Substitute into averaged state equations:

$$\mathbf{K} \frac{d(\mathbf{X} + \hat{\mathbf{x}}(t))}{dt} = \left(\left(D + \hat{d}(t) \right) \mathbf{A}_{1} + \left(D' - \hat{d}(t) \right) \mathbf{A}_{2} \right) \left(\mathbf{X} + \hat{\mathbf{x}}(t) \right) + \left(\left(D + \hat{d}(t) \right) \mathbf{B}_{1} + \left(D' - \hat{d}(t) \right) \mathbf{B}_{2} \right) \left(\mathbf{U} + \hat{\mathbf{u}}(t) \right)$$

$$\begin{pmatrix} \mathbf{Y} + \hat{\mathbf{y}}(t) \end{pmatrix} = \left(\left(D + \hat{d}(t) \right) \mathbf{C}_{1} + \left(D' - \hat{d}(t) \right) \mathbf{C}_{2} \right) \left(\mathbf{X} + \hat{\mathbf{x}}(t) \right)$$
$$+ \left(\left(D + \hat{d}(t) \right) \mathbf{E}_{1} + \left(D' - \hat{d}(t) \right) \mathbf{E}_{2} \right) \left(\mathbf{U} + \hat{\mathbf{u}}(t) \right)$$

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Averaged state equations: perturbation and linearization



Linearized small-signal state equations

Dc terms drop out of equations. Second-order (nonlinear) terms are small when the small-signal assumption is satisfied. We are left with:

$$\mathbf{K} \frac{d\hat{\mathbf{x}}(t)}{dt} = \mathbf{A} \,\hat{\mathbf{x}}(t) + \mathbf{B} \,\hat{\mathbf{u}}(t) + \left\{ \left(\mathbf{A}_{1} - \mathbf{A}_{2}\right) \mathbf{X} + \left(\mathbf{B}_{1} - \mathbf{B}_{2}\right) \mathbf{U} \right\} \,\hat{d}(t)$$
$$\hat{\mathbf{y}}(t) = \mathbf{C} \,\hat{\mathbf{x}}(t) + \mathbf{E} \,\hat{\mathbf{u}}(t) + \left\{ \left(\mathbf{C}_{1} - \mathbf{C}_{2}\right) \mathbf{X} + \left(\mathbf{E}_{1} - \mathbf{E}_{2}\right) \mathbf{U} \right\} \,\hat{d}(t)$$

This is the desired result.

7.4.4. Example: State-space averaging of a nonideal buck-boost converter



Subinterval 1



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Subinterval 2



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Evaluate averaged matrices

$$\mathbf{A} = D\mathbf{A}_{1} + D'\mathbf{A}_{2} = D \begin{bmatrix} -R_{on} & 0\\ 0 & -\frac{1}{R} \end{bmatrix} + D' \begin{bmatrix} 0 & 1\\ -1 & -\frac{1}{R} \end{bmatrix} = \begin{bmatrix} -DR_{on} & D'\\ -D' & -\frac{1}{R} \end{bmatrix}$$

In a similar manner,

$$\mathbf{B} = D\mathbf{B}_{1} + D'\mathbf{B}_{2} = \begin{bmatrix} D & -D' \\ 0 & 0 \end{bmatrix}$$
$$\mathbf{C} = D\mathbf{C}_{1} + D'\mathbf{C}_{2} = \begin{bmatrix} D & 0 \end{bmatrix}$$
$$\mathbf{E} = D\mathbf{E}_{1} + D'\mathbf{E}_{2} = \begin{bmatrix} 0 & 0 \end{bmatrix}$$

DC state equations

$$\begin{array}{l} \mathbf{0} = \mathbf{A} \mathbf{X} + \mathbf{B} \mathbf{U} \\ \mathbf{Y} = \mathbf{C} \mathbf{X} + \mathbf{E} \mathbf{U} \end{array} \quad \text{or,} \qquad \begin{bmatrix} 0 \\ 0 \end{bmatrix} = \begin{bmatrix} -DR_{on} & D' \\ -D' & -\frac{1}{R} \end{bmatrix} \begin{bmatrix} I \\ V \end{bmatrix} + \begin{bmatrix} D & -D' \\ 0 & 0 \end{bmatrix} \begin{bmatrix} V_g \\ V_D \end{bmatrix} \\ \begin{bmatrix} I_g \end{bmatrix} = \begin{bmatrix} I \\ V \end{bmatrix} = \begin{bmatrix} D & 0 \end{bmatrix} \begin{bmatrix} I \\ V \end{bmatrix} + \begin{bmatrix} 0 & 0 \end{bmatrix} \begin{bmatrix} V_g \\ V_D \end{bmatrix}$$

DC solution:

$$\begin{bmatrix} I \\ V \end{bmatrix} = \left(\frac{1}{1 + \frac{D}{D'^2}} \frac{R_{on}}{R}\right) \begin{bmatrix} \frac{D}{D'^2 R} & \frac{1}{D' R} \\ -\frac{D}{D'} & 1 \end{bmatrix} \begin{bmatrix} V_g \\ V_D \end{bmatrix}$$
$$\begin{bmatrix} I_g \end{bmatrix} = \left(\frac{1}{1 + \frac{D}{D'^2}} \frac{R_{on}}{R}\right) \begin{bmatrix} \frac{D^2}{D'^2 R} & \frac{D}{D' R} \end{bmatrix} \begin{bmatrix} V_g \\ V_D \end{bmatrix}$$

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Steady-state equivalent circuit

DC state equations:

$$\begin{bmatrix} 0\\0 \end{bmatrix} = \begin{bmatrix} -DR_{on} & D'\\ -D' & -\frac{1}{R} \end{bmatrix} \begin{bmatrix} I\\V \end{bmatrix} + \begin{bmatrix} D & -D'\\0 & 0 \end{bmatrix} \begin{bmatrix} V_g\\V_D \end{bmatrix}$$
$$\begin{bmatrix} I_g \end{bmatrix} = \begin{bmatrix} D & 0 \end{bmatrix} \begin{bmatrix} I & V_g\\V_D \end{bmatrix} + \begin{bmatrix} 0 & 0 \end{bmatrix} \begin{bmatrix} V_g\\V_D \end{bmatrix}$$

Corresponding equivalent circuit:



Small-signal ac model

Evaluate matrices in small-signal model:

$$\begin{pmatrix} \mathbf{A}_{1} - \mathbf{A}_{2} \end{pmatrix} \mathbf{X} + \begin{pmatrix} \mathbf{B}_{1} - \mathbf{B}_{2} \end{pmatrix} \mathbf{U} = \begin{bmatrix} -V\\I \end{bmatrix} + \begin{bmatrix} V_{g} - IR_{on} + V_{D}\\0 \end{bmatrix} = \begin{bmatrix} V_{g} - V - IR_{on} + V_{D}\\I \end{bmatrix}$$
$$\begin{pmatrix} \mathbf{C}_{1} - \mathbf{C}_{2} \end{pmatrix} \mathbf{X} + \begin{pmatrix} \mathbf{E}_{1} - \mathbf{E}_{2} \end{pmatrix} \mathbf{U} = \begin{bmatrix} I \end{bmatrix}$$

Small-signal ac state equations:

$$\begin{bmatrix} L & 0 \\ 0 & C \end{bmatrix} \frac{d}{dt} \begin{bmatrix} \hat{i}(t) \\ \hat{v}(t) \end{bmatrix} = \begin{bmatrix} -DR_{on} & D' \\ -D' & -\frac{1}{R} \end{bmatrix} \begin{bmatrix} \hat{i}(t) \\ \hat{v}(t) \end{bmatrix} + \begin{bmatrix} D & -D' \\ 0 & 0 \end{bmatrix} \begin{bmatrix} \hat{v}_{g}(t) \\ \hat{v}_{D}(t) \end{bmatrix} + \begin{bmatrix} V_{g} - V - IR_{on} + V_{D} \\ I \end{bmatrix} \hat{d}(t)$$
$$\begin{bmatrix} \hat{i}_{g}(t) \end{bmatrix} = \begin{bmatrix} D & 0 \end{bmatrix} \begin{bmatrix} \hat{i}(t) \\ \hat{v}(t) \end{bmatrix} + \begin{bmatrix} 0 & 0 \\ 0 & 0 \end{bmatrix} \begin{bmatrix} \hat{v}_{g}(t) \\ \hat{v}_{D}(t) \end{bmatrix} + \begin{bmatrix} 0 \\ I \end{bmatrix} \hat{d}(t)$$

Construction of ac equivalent circuit

 $L \frac{di(t)}{dt} = D' \,\hat{v}(t) - DR_{on} \,\hat{i}(t) + D \,\hat{v}_g(t) + \left(V_g - V - IR_{on} + V_D\right) \hat{d}(t)$ Small-signal ac equations, in $C \frac{d\hat{v}(t)}{dt} = -D' \hat{i}(t) - \frac{\hat{v}(t)}{R} + I \hat{d}(t)$ scalar form: $\hat{i}_g(t) = D \ \hat{i}(t) + I \ \hat{d}(t)$ $\hat{i}_{g}(t)$ Corresponding equivalent circuits: input eqn inductor equation $\hat{d}(t)\left(V_g - V + V_D - IR_{on}\right)$ $D \hat{i}(t)$ $\hat{v}_g(t)$ $I \hat{d}(t)$ DR_{on} 200 $L \frac{d\hat{i}(t)}{dt}$ capacitor $D' \hat{v}(t)$ $D \hat{v}_o(t)$ $\hat{i}(t)$ eqn $C \, \frac{d\hat{v}(t)}{dt}$ $\frac{\hat{v}(t)}{R}$ $D' \hat{i}(t)$ $I \hat{d}(t)$ $\hat{v}(t)$ R

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Complete small-signal ac equivalent circuit

Combine individual circuits to obtain



7.5. Circuit Averaging and Averaged Switch Modeling

- Historically, circuit averaging was the first method known for modeling the small-signal ac behavior of CCM PWM converters
- It was originally thought to be difficult to apply in some cases
- There has been renewed interest in circuit averaging and its corrolary, averaged switch modeling, in the last decade
- Can be applied to a wide variety of converters
 - We will use it to model DCM, CPM, and resonant converters
 - Also useful for incorporating switching loss into ac model of CCM converters
 - Applicable to 3ø PWM inverters and rectifiers
 - Can be applied to phase-controlled rectifiers
- Rather than averaging and linearizing the converter state equations, the averaging and linearization operations are performed directly on the converter circuit

Separate switch network from remainder of converter



Boost converter example



Discussion

- The number of ports in the switch network is less than or equal to the number of SPST switches
- Simple dc-dc case, in which converter contains two SPST switches: switch network contains two ports

The switch network terminal waveforms are then the port voltages and currents: $v_1(t)$, $i_1(t)$, $v_2(t)$, and $i_2(t)$.

Two of these waveforms can be taken as independent inputs to the switch network; the remaining two waveforms are then viewed as dependent outputs of the switch network.

 Definition of the switch network terminal quantities is not unique. Different definitions lead equivalent results having different forms

Boost converter example

Let's use definition (a):



Since $i_1(t)$ and $v_2(t)$ coincide with the converter inductor current and output voltage, it is convenient to define these waveforms as the independent inputs to the switch network. The switch network dependent outputs are then $v_1(t)$ and $i_2(t)$.

Obtaining a time-invariant network: Modeling the terminal behavior of the switch network

Replace the switch network with dependent sources, which correctly represent the dependent output waveforms of the switch network



Boost converter example

Definition of dependent generator waveforms





The waveforms of the dependent generators are defined to be identical to the actual terminal waveforms of the switch network.

The circuit is therefore electrical identical to the original converter.

So far, no approximations have been made.

The circuit averaging step

Now average all waveforms over one switching period:



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The basic assumption is made that the natural time constants of the converter are much longer than the switching period, so that the converter contains low-pass filtering of the switching harmonics. One may average the waveforms over an interval that is short compared to the system natural time constants, without significantly altering the system response. In particular, averaging over the switching period T_s removes the switching harmonics, while preserving the low-frequency components of the waveforms.

In practice, the only work needed for this step is to average the switch dependent waveforms.

Averaging step: boost converter example



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Compute average values of dependent sources



Perturb and linearize



Dependent voltage source

$$\left(D' - \hat{d}(t)\right)\left(V + \hat{v}(t)\right) = D'\left(V + \hat{v}(t)\right) - V\hat{d}(t) - \hat{v}(t)\hat{d}(t)$$

nonlinear, 2nd order



Dependent current source

$$\left(D' - \hat{d}(t)\right)\left(I + \hat{i}(t)\right) = D'\left(I + \hat{i}(t)\right) - I\hat{d}(t) - \hat{i}(t)\hat{d}(t)$$

nonlinear, 2nd order


Linearized circuit-averaged model



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Summary: Circuit averaging method

Model the switch network with equivalent voltage and current sources, such that an equivalent time-invariant network is obtained

Average converter waveforms over one switching period, to remove the switching harmonics

Perturb and linearize the resulting low-frequency model, to obtain a small-signal equivalent circuit

Averaged switch modeling: CCM

Circuit averaging of the boost converter: in essence, the switch network was replaced with an effective ideal transformer and generators:



Basic functions performed by switch network



For the boost example, we can conclude that the switch network performs two basic functions:

- Transformation of dc and small-signal ac voltage and current levels, according to the D':1 conversion ratio
- Introduction of ac voltage and current variations, drive by the control input duty cycle variations

Circuit averaging modifies only the switch network. Hence, to obtain a smallsignal converter model, we need only replace the switch network with its averaged model. Such a procedure is called *averaged switch modeling*.

Averaged switch modeling: Procedure

1. Define a switch network and its terminal waveforms. For a simple transistor-diode switch network as in the buck, boost, etc., there are two ports and four terminal quantities: v_1 , i_1 , v_2 , i_2 . The switch network also contains a control input *d*. Buck example:



2. To derive an averaged switch model, express the average values of two of the terminal quantities, for example $\langle v_2 \rangle_{T_s}$ and $\langle i_1 \rangle_{T_s}$, as functions of the other average terminal quantities $\langle v_1 \rangle_{T_s}$ and $\langle i_1 \rangle_{T_s}$. $\langle v_2 \rangle_{T_s}$ and $\langle i_1 \rangle_{T_s}$ may also be functions of the control input *d*, but they should not be expressed in terms of other converter signals.

The basic buck-type CCM switch cell



Replacement of switch network by dependent sources, CCM buck example



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Three basic switch networks, and their CCM dc and small-signal ac averaged switch models



Example: Averaged switch modeling of CCM buck converter, including switching loss



 $i_1(t) = i_C(t)$ $v_2(t) = v_1(t) - v_{CE}(t)$

Switch network terminal waveforms: v_1 , i_1 , v_2 , i_2 . To derive averaged switch model, express $\langle v_2 \rangle_{T_s}$ and $\langle i_1 \rangle_{T_s}$ as functions of $\langle v_1 \rangle_{T_s}$ and $\langle i_1 \rangle_{T_s} . \langle v_2 \rangle_{T_s}$ and $\langle i_1 \rangle_{T_s}$ may also be functions of the control input *d*, but they should not be expressed in terms of other converter signals.

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Averaging $i_1(t)$



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Expression for $\langle i_1(t) \rangle$

Given

$$\left\langle \dot{i}_{1}(t) \right\rangle_{T_{s}} = \frac{1}{T_{s}} \int_{0}^{T_{s}} \dot{i}_{1}(t) dt$$

$$= \left\langle \dot{i}_{2}(t) \right\rangle_{T_{s}} \left(\frac{t_{1} + t_{vf} + t_{vr} + \frac{1}{2} t_{ir} + \frac{1}{2} t_{if}}{T_{s}} \right)$$

Let

$$d = \left(\frac{t_1 + \frac{1}{2} t_{vf} + \frac{1}{2} t_{vr} + \frac{1}{2} t_{ir} + \frac{1}{2} t_{if}}{T_s}\right)$$
$$d_v = \left(\frac{t_{vf} + t_{vr}}{T_s}\right)$$
$$d_i = \left(\frac{t_{ir} + t_{if}}{T_s}\right)$$

Then we can write

$$\left\langle i_1(t) \right\rangle_{T_s} = \left\langle i_2(t) \right\rangle_{T_s} \left(d + \frac{1}{2} d_v \right)$$

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Averaging the switch network output voltage $v_2(t)$



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Construction of large-signal averaged-switch model



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Switching loss predicted by averaged switch model



$$P_{sw} = \frac{1}{2} \left(d_v + d_i \right) \left\langle i_2(t) \right\rangle_{T_s} \left\langle v_1(t) \right\rangle_{T_s}$$

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Solution of averaged converter model in steady state



Output voltage:

$$V = \left(D - \frac{1}{2}D_i\right)V_g = DV_g\left(1 - \frac{D_i}{2D}\right)$$

Efficiency calcuation: $P_{in} = V_g I_1 = V_1 I_2 \left(D + \frac{1}{2} D_v \right)$ $P_{out} = V I_2 = V_1 I_2 \left(D - \frac{1}{2} D_i \right)$ $\eta = \frac{P_{out}}{P_{in}} = \frac{\left(D - \frac{1}{2} D_i \right)}{\left(D + \frac{1}{2} D_v \right)} = \frac{\left(1 - \frac{D_i}{2D} \right)}{\left(1 + \frac{D_v}{2D} \right)}$

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7.6. The canonical circuit model

All PWM CCM dc-dc converters perform the same basic functions:

- Transformation of voltage and current levels, ideally with 100% efficiency
- Low-pass filtering of waveforms
- Control of waveforms by variation of duty cycle

Hence, we expect their equivalent circuit models to be qualitatively similar.

Canonical model:

- A standard form of equivalent circuit model, which represents the above physical properties
- Plug in parameter values for a given specific converter

7.6.1. Development of the canonical circuit model

1. Transformation of dc Converter model voltage and current 1: M(D)levels + modeled as in Chapter 3 with ideal V_{g} VR dc transformer • effective turns ratio M(D)• can refine dc model by addition of effective loss D elements, as in Power Control Load Chapter 3 input input

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Steps in the development of the canonical circuit model

- 2. Ac variations in $v_g(t)$ induce ac variations in v(t)
- these variations are also transformed by the conversion ratio M(D)



Steps in the development of the canonical circuit model

1: M(D)

 $Z_{ei}(s)$

- 3. Converter must contain an effective lowpass filter characteristic
- necessary to filter switching ripple
- also filters ac • variations
- effective filter input elements may not coincide with actual element values, but can also depend on operating point

 $V_g + \hat{v}_g(s)$



Load

+

 $V + \hat{v}(s) \leq R$

 $Z_{eo}(s)$

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 $H_{\rho}(s)$

Effective

low-pass

filter

Steps in the development of the canonical circuit model



- 4. Control input variations also induce ac variations in converter waveforms
- Independent sources represent effects of variations in duty cycle
- Can push all sources to input side as shown. Sources may then become frequency-dependent

Transfer functions predicted by canonical model



Line-to-output transfer function:

Control-to-output transfer function:

$$G_{vg}(s) = \frac{\hat{v}(s)}{\hat{v}_g(s)} = M(D) H_e(s)$$
$$G_{vd}(s) = \frac{\hat{v}(s)}{\hat{d}(s)} = e(s) M(D) H_e(s)$$

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7.6.2. Example: manipulation of the buck-boost converter model into canonical form

Small-signal ac model of the buck-boost converter



- Push independent sources to input side of transformers
- Push inductor to output side of transformers
- Combine transformers

Push voltage source through 1:D transformer Move current source through D':1 transformer



How to move the current source past the inductor:

Break ground connection of current source, and connect to node A instead.

Connect an identical current source from node A to ground, so that the node equations are unchanged.



The parallel-connected current source and inductor can now be replaced by a Thevenin-equivalent network:



Now push current source through 1:D transformer.

Push current source past voltage source, again by:

Breaking ground connection of current source, and connecting to node B instead.

Connecting an identical current source from node B to ground, so that the node equations are unchanged.

Note that the resulting parallel-connected voltage and current sources are equivalent to a single voltage source.



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Step 5: final result

Push voltage source through 1:D transformer, and combine with existing input-side transformer.

Combine series-connected transformers.



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Coefficient of control-input voltage generator

Voltage source coefficient is:

$$e(s) = \frac{V_g + V}{D} - \frac{s LI}{D D'}$$

Simplification, using dc relations, leads to

$$e(s) = -\frac{V}{D^2} \left(1 - \frac{s DL}{D'^2 R} \right)$$

Pushing the sources past the inductor causes the generator to become frequency-dependent.

7.6.3. Canonical circuit parameters for some common converters



Table 7.1. Canonical model parameters for the ideal buck, boost, and buck-boost converters

Converter	M(D)	L_e	e(s)	j(s)
Buck	D	L	$\frac{V}{D^2}$	$\frac{V}{R}$
Boost	$\frac{1}{D'}$	$rac{L}{D'^2}$	$V\left(1-\frac{s\ L}{D'^2\ R}\right)$	$\frac{V}{D^{\prime 2}R}$
Buck-boost	$-\frac{D}{D'}$	$\frac{L}{D'^2}$	$-\frac{V}{D^2}\left(1-\frac{sDL}{D'^2R}\right)$	$-\frac{V}{D^{\prime 2}R}$

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7.7. Modeling the pulse-width modulator

Pulse-width modulator converts voltage signal $v_c(t)$ into duty cycle signal d(t).

What is the relation between $v_c(t)$ and d(t)?



A simple pulse-width modulator



Equation of pulse-width modulator

For a linear sawtooth waveform:

$$d(t) = \frac{v_c(t)}{V_M} \quad \text{for } 0 \le v_c(t) \le V_M$$

So d(t) is a linear function of $v_c(t)$.



Perturbed equation of pulse-width modulator



Result:

$$D + \hat{d}(t) = \frac{V_c + \hat{v}_c(t)}{V_M}$$

Dc and ac relations:

$$D = \frac{V_c}{V_M}$$
$$\hat{d}(t) = \frac{\hat{v}_c(t)}{V_M}$$

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Sampling in the pulse-width modulator

The input voltage is a continuous function of time, but there can be only one discrete value of the duty cycle for each switching period.

Therefore, the pulse-

width modulator

 v_c $\frac{1}{V_M}$ sampler d f_s f_s

samples the control waveform, with sampling rate equal to the switching frequency.

In practice, this limits the useful frequencies of ac variations to values much less than the switching frequency. Control system bandwidth must be sufficiently less than the Nyquist rate $f_s/2$. Models that do not account for sampling are accurate only at frequencies much less than $f_s/2$.

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7.8. Summary of key points

- The CCM converter analytical techniques of Chapters 2 and 3 can be extended to predict converter ac behavior. The key step is to average the converter waveforms over one switching period. This removes the switching harmonics, thereby exposing directly the desired dc and low-frequency ac components of the waveforms. In particular, expressions for the averaged inductor voltages, capacitor currents, and converter input current are usually found.
- 2. Since switching converters are nonlinear systems, it is desirable to construct small-signal linearized models. This is accomplished by perturbing and linearizing the averaged model about a quiescent operating point.
- 3. Ac equivalent circuits can be constructed, in the same manner used in Chapter 3 to construct dc equivalent circuits. If desired, the ac equivalent circuits may be refined to account for the effects of converter losses and other nonidealities.

Summary of key points

- 4. The state-space averaging method of section 7.4 is essentially the same as the basic approach of section 7.2, except that the formality of the state-space network description is used. The general results are listed in section 7.4.2.
- 5. The circuit averaging technique also yields equivalent results, but the derivation involves manipulation of circuits rather than equations. Switching elements are replaced by dependent voltage and current sources, whose waveforms are defined to be identical to the switch waveforms of the actual circuit. This leads to a circuit having a time-invariant topology. The waveforms are then averaged to remove the switching ripple, and perturbed and linearized about a quiescent operating point to obtain a small-signal model.
Summary of key points

6. When the switches are the only time-varying elements in the converter, then circuit averaging affects only the switch network. The converter model can then be derived by simply replacing the switch network with its averaged model. Dc and small-signal ac models of several common CCM switch networks are listed in section 7.5.4. Switching losses can also be modeled using this approach.

7. The canonical circuit describes the basic properties shared by all dc-dc PWM converters operating in the continuous conduction mode. At the heart of the model is the ideal 1:M(D) transformer, introduced in Chapter 3 to represent the basic dc-dc conversion function, and generalized here to include ac variations. The converter reactive elements introduce an effective low-pass filter into the network. The model also includes independent sources which represent the effect of duty cycle variations. The parameter values in the canonical models of several basic converters are tabulated for easy reference.

Summary of key points

8. The conventional pulse-width modulator circuit has linear gain, dependent on the slope of the sawtooth waveform, or equivalently on its peak-to-peak magnitude.